# Annual Mental Health Services Act Revenue and Expenditure Report Fiscal Year 2017-18 Information

1	Date:	12/21/2018				
2	County:	San Joaquin				
3	County Code:	39				
4	Address:	1212 N. California Street				
5	City:	Stockton				
6	Zip:	95202				
7	County Population: Over 200,000? (Yes or No)	Yes				
8	Name of Preparer:	Carmen Murillo				
9	Title of Preparer:	Accounting Manager				
10	Preparer Contact Email:	cmurillo@sjcbhs.org				
11	Preparer Contact Telephone	(209) 953-7386				

## Annual Mental Health Services Act Revenue and Expenditure Report Fiscal Year 2017-18 Component Summary

County:	San Joaquin	Date:	12/21/2018

SECTION 1	: Interest and Prudent Reserve	TOTAL				
1	Interest Earned on local MHS Fund	\$1,189,891.00				
2	Local Prudent Reserve Beginning Balance					
3	\$11,794,245.00					

		Α	В	С	D	E	F	G	Н	I	J	K
		css	PEI	INN	WET	CFTN	TTACB	WET RP	PEISW	MHSA HP	PR	TOTAL
SECTION	SECTION 2: Transfers from Prudent Reserve and Interest Earned											
4	Transfer from Local Prudent Reserve										\$0.00	\$0.00
5	FY 2017-18 Interest Earned on local MHS Fund	\$1,028,238.16	\$247,300.29	\$59,494.55								\$1,335,033.00
6	TOTAL	\$1,028,238.16	\$247,300.29	\$59,494.55	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,335,033.00

SECTION 3	: Transfers to Prudent Reserve, WET or CFTN								
7	Transfers	\$0.00		\$0.00	\$0.00			\$0.00	\$0.00

SECTION 4	CTION 4: Program Expenditures and Sources of Funding 2017-18										
8	MHSA Funds (Including Interest)	\$15,722,221.00	\$5,600,588.00	\$149,965.00	\$610,173.00	\$2,242,414.00		\$0.00	\$0.00	\$0.00	\$24,325,361.00
9	Medi-Cal FFP	\$9,134,382.00	\$1,021,000.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$10,155,382.00
10	1991 Realignment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
11	Behavioral Health Subaccount	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
12	Other	\$956,572.00	\$18,747.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$975,319.00
13	TOTAL	\$25,813,175.00	\$6,640,335.00	\$149,965.00	\$610,173.00	\$2,242,414.00	\$0.00	\$0.00	\$0.00	\$0.00	\$35,456,062.00

<b>SECTION 5</b>	: MHSA Planning Costs	TOTAL
14	Total Annual Planning Costs	\$0.00
15	Total Evaluation Costs	\$99,999.00
16	Total Administration	\$2,986,350.00

# Annual Mental Health Services Act Revenue and Expenditure Report Fiscal Year 2017-18

**Community Services and Supports (CSS) Summary** 

County: San Joaquin Date: 12/21/2018

### **SECTION ONE**

		A	В	С	D	Е	F
		MHSA Funds		Other Fu	nds		
		Total MHSA (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	CSS Annual Planning Costs						\$0.00
2	CSS Evaluation Costs						\$0.00
3	CSS Administration Costs	\$2,193,428.00					\$2,193,428.00
4	CSS Funds Transferred to JPA						\$0.00
5	CSS Expenditure Incurred by JPA						\$0.00
6	CSS Funds Transferred to CalHFA						\$0.00
7	CSS Funds Transferred to WET						\$0.00
8	CSS Funds Transferred to CFTN						\$0.00
9	CSS Funds Transferred to PR						\$0.00
10	CSS Program Expenditures	\$13,528,793.00	\$9,134,382.00	\$0.00	\$0.00	\$956,572.00	\$23,619,747.00
11	Total CSS Expenditures (Excluding Funds Transferred to JPA)	\$15,722,221.00	\$9,134,382.00	\$0.00	\$0.00	\$956,572.00	\$25,813,175.00
12	Total CSS Expenditures (Excluding Funds Transferred)	\$15,722,221.00	\$9,134,382.00	\$0.00	\$0.00	\$956,572.00	\$25,813,175.00

[	А	В	С	D	Е	F	G	Н	I	J
		CSS Co	mponent		MHSA Funds		Othe	er Funds		
#	County Code	Program Name	Prior Program Name	Service Category	Total MHSA (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	39	Children and Youth FSP		FSP	\$1,661,011.00	\$731,552.00			\$316,811.00	\$2,709,374.00
2	39	Transition age Youth (TAY) FSP		FSP	\$269,025.00	\$231,618.00			\$1,657.00	\$502,300.00
3	39	Adult FSP		FSP	\$5,629,509.00	\$4,242,261.00			\$54,617.00	\$9,926,387.00
4	39	Older Adult FSP		FSP	\$1,072,390.00	\$382,590.00			\$6,601.00	\$1,461,581.00
5	39	Community Corrections FSP		FSP	\$710,682.00	\$397,296.00			\$10,640.00	\$1,118,618.00
6		Intensive FSP		FSP	\$0.00					\$0.00
7	39	FSP Engagement		FSP	\$596,028.00					\$596,028.00
8		FSP Housing Empowerment		FSP	\$0.00					\$0.00
9	39	Whole Person Care Pilot Project		Non-FSP	\$451,843.00				\$77,494.00	\$529,337.00
10		Expanded Mental Health Engagement		Non-FSP	\$0.00					\$0.00
11	39	Wellness Centers		Non-FSP	\$471,719.00					\$471,719.00
12	39	Project Based Housing		Non-FSP	\$722,495.00					\$722,495.00
13	39	Employment Recovery Services		Non-FSP	\$154,831.00					\$154,831.00
14	39	Services		Non-FSP	\$187,580.00	\$308,248.00			\$649.00	\$496,477.00
15	39	Mobile Crisis Support Team		Non-FSP	\$631,619.00	\$80,764.00			\$376,103.00	\$1,088,486.00
16	39	Crisis Services Expansion		Non-FSP	\$970,061.00	\$2,760,053.00			\$112,000.00	\$3,842,114.00
17		System Development Expansion		Non-FSP	\$0.00					\$0.00
18										\$0.00
19										\$0.00
20										\$0.00
21										\$0.00
22										\$0.00
23										\$0.00
24										\$0.00
25										\$0.00
26										\$0.00

### Version 7/1/2018 Annual Mental Health Services Act Revenue and Expenditure Report Fiscal Year 2017-18

Prevention and Early Intervention (PEI) Summary

County:	San Joaquin	Date:	12/21/2018

#### SECTION ONE

		Α	В	С	D	E	F
		MHSA Funds	Other Funds				
		Total MHSA (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	PEI Annual Planning Costs						\$0.00
2	PEI Evaluation Costs						\$0.00
3	PEI Administration Costs	\$726,098.00					\$726,098.00
4	PEI Funds Expended by CalMHSA for PEI SW						\$0.00
5	PEI Funds Transferred to JPA						\$0.00
6	PEI Expenditure Incurred by JPA						\$0.00
7	PEI Program Expenditures	\$4,874,490.00	\$1,021,000.00	\$0.00	\$0.00	\$18,747.00	\$5,914,237.00
8	Total PEI Expenditures (Excluding Transfers and PEI SW)	\$5,600,588.00	\$1,021,000.00	\$0.00	\$0.00	\$18,747.00	\$6,640,335.00

		Α	В
		Percent Expended	Percent Expended for
		for Clients 25 and	Clients 25 and Under,
		Under, All PEI	JPA
	MHSA PEI Fund Expenditures in Program to Clients 25 and Under		
1	(calculated from weighted program values) divided by Total MHSA PEI		
	Expenditures	64.87%	

#### SECTION THREE

Ī	Α	В	С	D	E	F	G	Н	I	J	K	L	M	N	0
					PEI Component		•	•		MHSA Funds		Other	Funds		
#	County	Program Name	Prior Program Name	Combined/ Standalone	Program Type	Program Activity Name (in Combined Program)	Subtotal Percentage for Combined Program	% of PEI Expended on Clients 25 & Under (Standalone and Program Activities in Combined Program)	% of PEI Expended on Clients 25 & Under (Combined Summary and Standalone)	Total MHSA (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	39	Skill building for Parents and Guardians		Standalone	Prevention		100%	0%	0.0%	\$642,081.00					\$642,081.00
2	39	Family Therapy for Youth 11-18		Standalone	Prevention		100%	100%	100.0%	\$339,634.00					\$339,634.00
3	39	Mentoring For Transitional Age Youth		Standalone	Prevention		100%	100%	100.0%	\$783,905.00					\$783,905.00
		Trauma Services: Collaboration with Child													
4		Welfare Svc			Early Intervention		100%		100.0%	\$829,211.00					\$829,211.00
5		Trauma Services for Children and Youth			Early Intervention		100%	100%	100.0%	\$455,943.00				\$1,489.00	
6	39	Early Intervention to Treat Psychosis		Standalone	Early Intervention		100%	0%	0.0%	\$88,056.00	\$506,503.00			\$5,441.00	\$600,000.00
_		Recovery Services for Victims of Human		0			4000		==	0054 450 00					<b>4054 470 55</b>
7		Trafficking			Early Intervention		100%	50%	50.0%	\$251,476.00					\$251,476.00
8		Community Trainings		Standalone	Outreach		100%	50%	50.0%	\$94,451.00				A	\$94,451.00
9		Juvenile Justice Project			Access and Linkage		100%	50%	50.0%	\$676,575.00				\$11,817.00	
10	39	Suicide Prevention in Communities and Schools		Standalone	Suicide Prevention		100%	100%	100.0%	\$713,158.00					\$713,158.00
11															\$0.00 \$0.00
12 13															\$0.00
14															\$0.00
15															\$0.00
16															\$0.00
17															\$0.00
18															\$0.00
19															\$0.00
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29															\$0.00
30															\$0.00
31															\$0.00
32									_						\$0.00
33															\$0.00
34															\$0.00
35															\$0.00
36															\$0.00
37															\$0.00
38															\$0.00
39															\$0.00
40															\$0.00

Version 7/1/2018
Annual Mental Health Services Act Revenue and Expenditure Report Fiscal Year 2017-18 Innovation (INN) Summary

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#### SECTION ONE

		Α	В	С	D	E	F
		MHSA Funds		Other	Funds		
		Total MHSA (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	INN Annual Planning Costs						\$0.00
2	INN Indirect Administration						\$0.00
3	INN Funds Transferred to JPA						\$0.00
4	INN Expenditure Incurred by JPA						\$0.00
5	INN Project Administration	\$18,582.00	\$0.00	\$0.00	\$0.00	\$0.00	\$18,582.00
6	INN Project Evaluation	\$99,999.00	\$0.00	\$0.00	\$0.00	\$0.00	\$99,999.00
7	INN Project Direct	\$31,384.00	\$0.00	\$0.00	\$0.00	\$0.00	\$31,384.00
8	INN Project Subtotal	\$149,965.00	\$0.00	\$0.00	\$0.00	\$0.00	\$149,965.00
9	Total Innovation Expenditures (Excluding Transfers to JPA)	\$149,965.00	\$0.00	\$0.00	\$0.00	\$0.00	\$149,965.00

	Α	В	С	D	E	F	G	Н	I	J	K	L	M	N
			INN Component						MHSA Funds		Other	Funds		
#	County	Project Name	Prior Project Name	Project MHSOAC Approval Date	Project Start Date	MHSOAC-Authorized MHSA INN Project Budget	Amended MHSOAC- Authorized MHSA INN Project Budget	Project Expenditure Type	Total MHSA (Including Interest)	Medi-Cal FFP	1991 Realignment	BH Subaccount	Other	Grand Total
1		Assessment and Respite Center		1/25/2018		\$750,000.00		Project Administration						\$0.00
1		Assessment and Respite Center		1/25/2018		\$750,000.00		Project Evaluation						\$0.00
1		Assessment and Respite Center		1/25/2018		\$750,000.00		Project Direct						\$0.00
1		Assessment and Respite Center		1/25/2018		\$750,000.00		Project Subtotal	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	70.00
2		Scattered Site - Self Help Housing		1/25/2018		\$500,000.00		Project Administration	\$18,582.00					\$18,582.00
2	39	Scattered Site - Self Help Housing		1/25/2018		\$500,000.00		Project Evaluation						\$0.00
2	39	Scattered Site - Self Help Housing		1/25/2018		\$500,000.00		Project Direct	\$31,384.00					\$31,384.00
2	39	Scattered Site - Self Help Housing		1/25/2018		\$500,000.00		Project Subtotal	\$49,966.00	\$0.00	\$0.00	\$0.00	\$0.00	\$49,966.00
2		Supported Housing / Housing Authority Program		1/25/2018		\$0.00		Project Administration						\$0.00
2		Supported Housing / Housing Authority Program		1/25/2018		\$0.00		Project Evaluation						\$0.00
2		Supported Housing / Housing Authority Program		1/25/2018		\$0.00		Project Direct						\$0.00
2		Supported Housing / Housing Authority Program		1/25/2018		\$0.00		Project Subtotal	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3	39	Strategic Planning and Evaluation		1/25/2018		\$213,750.00		Project Administration						\$0.00
3	39	Strategic Planning and Evaluation		1/25/2018		\$213,750.00		Project Evaluation	\$99,999.00					\$99,999.00
3		Strategic Planning and Evaluation		1/25/2018		\$213,750.00		Project Direct						\$0.00
3		Strategic Planning and Evaluation		1/25/2018		\$213,750.00		Project Subtotal	\$99,999.00	\$0.00	\$0.00	\$0.00	\$0.00	
4		-												\$0.00
4														\$0.00
4														\$0.00
4									\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
5									, , ,	, , , , , , , , , , , , , , , , , , , ,	,	, , , , , ,	,	\$0.00

# Annual Mental Health Services Act Revenue and Expenditure Report Fiscal Year 2017-18

**Workforce Education and Training (WET) Summary** 

County:	San Joaquin	Date:	12/21/2018
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#### **SECTION ONE**

		Α	В	С	D	E	F
		MHSA Fund		Other	Fund		
		Total MHSA (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	WET Annual Planning Costs						\$0.00
2	WET Evaluation Costs						\$0.00
3	WET Administration Costs	\$48,242.00					\$48,242.00
4	WET Funds Transferred to JPA						\$0.00
5	WET Expenditure Incurred by JPA						\$0.00
6	WET Program Expenditures	\$561,931.00	\$0.00	\$0.00	\$0.00	\$0.00	\$561,931.00
7	Total WET Expenditures (Excluding Transfers to JPA)	\$610,173.00	\$0.00	\$0.00	\$0.00	\$0.00	\$610,173.00

	A B C		С	D	E	F	G	Н
		Wet Component	MHSA Funds		Other Fund	s		
#	County	Funding Category	Total MHSA (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1	39	Workforce Staffing	\$124,560.00					\$124,560.00
2	39	Training/Technical Assistance	\$96,351.00					\$96,351.00
3	39	MH Career Pathways	\$251,770.00					\$251,770.00
4		Residency/Internship	_					\$0.00
5	39	Financial Incentive	\$89,250.00					\$89,250.00

## **Annual Mental Health Services Act Revenue and Expenditure Report Fiscal Year 2017-18**

Capital Facility Technological Needs (CFTN) Summary

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#### **SECTION ONE**

		A	В	С	D	Е	F	
		MHSA Funds		Other Fund				
		Total MHSA (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total	
1	CF Annual Planning Costs						\$0.00	
2	TN Annual Planning Costs						\$0.00	
3	CF Evaluation Costs						\$0.00	
4	TN Evaluation Costs						\$0.00	
5	CF Administration						\$0.00	
6	TN Administration						\$0.00	
7	CFTN Program Expenditure	\$2,242,414.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,242,414.00	
8	Total CFTN Expenditures	\$2,242,414.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,242,414.00	

	Α	В	С	D	E	F	G	Н	I	J
		CFTN Component			MHSA Fund		Other Fu	nd		
#	County	Project Name	Prior Project Name	Project Type	Total MSHA (Including Interest)	Medi-Cal FFP	1991 Realignment	Behavioral Health Subaccount	Other	Grand Total
1		Complete CSU Renovations		Capital Facility	\$0.00					\$0.00
2	39	Facility Upgrades		Capital Facility	\$304,097.00					\$304,097.00
3	39	Technologically Needs Projects		Technological Need	\$1,938,317.00					\$1,938,317.00
4										\$0.00
5										\$0.00
6										\$0.00
7										\$0.00
8										\$0.00
9										\$0.00
10										\$0.00

### MHSA COUNTY FISCAL ACCOUNTABILITY CERTIFICATION1

County/City: San Joaquin County	<ul> <li>☐ Three-Year Program and Expenditure Plan</li> <li>☐ Annual Update</li> <li>☐ Annual Revenue and Expenditure Report</li> </ul>
Local Mental Health Director  Name: Tony Vartan  Telephone Number: (209) 468-8750  E-mail: tvartan@sjcbhs.org	County Auditor-Controller/City Financial Officer Name: Jay Wilverding Telephone Number: E-mail: jwilverding@sjgov.org
Local Mental Health Mailing Address: 1212 North California Street Stockton, CA 95205	

I hereby certify that the Three-Year Program and Expenditure Plan, Annual Update or Annual Revenue and Expenditure Report is true and correct and that the County has complied with all fiscal accountability requirements as required by law or as directed by the State Department of Health Care Services and the Mental Health Services Oversight and Accountability Commission, and that all expenditures are consistent with the requirements of the Mental Health Services Act (MHSA), including Welfare and Institutions Code (WIC) sections 5813.5, 5830, 5840, 5847, 5891, and 5892; and Title 9 of the California Code of Regulations sections 3400 and 3410. I further certify that all expenditures are consistent with an approved plan or update and that MHSA funds will only be used for programs specified in the Mental Health Services Act. Other than funds placed in a reserve in accordance with an approved plan, any funds allocated to a county which are not spent for their authorized purpose within the time period specified in WIC section 5892(h), shall revert to the state to be deposited into the fund and available for counties in future years.

I declare under penalty of perjury under the laws of this state that the foregoing and the attached update/revenue and expenditure report is true and correct to the best of my knowledge.

Tony Vartan

Local Mental Health Director (PRINT)

Signature

Date

<sup>1</sup> Welfare and Institutions Code Sections 5847(b)(9) and 5899(a)
Three-Year Program and Expenditure Plan, Annual Update, and RER Certification (07/22/2013)

### MHSA COUNTY FISCAL ACCOUNTABILITY CERTIFICATION<sup>1</sup>

I hereby certify that for the fiscal year ended June 30, 2018, the County/City has maintained an interest-bearing local Mental Health Services (MHS) Fund (WIC 5892(f)); and that the County's/City's financial statements are audited annually by an independent auditor and the most recent audit report is dated 6/30/2017 for the fiscal year ended June 30, 2017. I further certify that for the fiscal year ended June 30, 2018, the State MHSA distributions were recorded as revenues in the local MHS Fund; that County/City MHSA expenditures and transfers out were appropriated by the Board of Supervisors and recorded in compliance with such appropriations; and that the County/City has complied with WIC section 5891(a), in that local MHS funds may not be loaned to a county general fund or any other county fund.

I declare under penalty of perjury under the laws of this state that the foregoing, and if there is a revenue and expenditure report attached, is true and correct to the best of my knowledge.

Jay Wilverding

County Auditor Controller / City Financial Officer (PRINT)

1 Welfare and Institutions Code Sections 5847(b)(9) and 5899(a)
Three-Year Program and Expenditure Plan, Annual Update, and RER Certification (07/22/2013)